TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

	12 mm - 200 1
International Application No.	
Attorney Docket No.	JH-IPCT

OMITED STATES REC	;	Attorney Docke	t No.	JH-IPCT				
ICertification under 37 CFR 1.10 (if applicable)								
ED 05168625		13 AP	RIL *	2004				
Express Mail mailing number								
hereby certify that the application/correspondence attached hereto is being denosited with the United States Bestel Service Williams M. II.								
Post Office to Addressee service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.								
- Jole to	~	JOHN	HAR					
Signature of person mailing correspondence Typed or printed name of person mailing correspondence								
II. New International A	pplication							
TITLE APPARA	TUS FOR PR	OCESSING,	1	Earliest priority date				
STORING	, AUD DISPEN	SING		(Day/Month/Year)				
SOFT SEI	ÉVE FROZEN FO	DOD PRODUCT	i	15 APRIL 2003				
SCREENING DISCLO	OSURE INFORMATION: In a	order to assist in screen	ning the acc	companying international application for				
following information is	ing whether a license for for s supplied. (Note: check as m disclosed was not made in th	any boxes as anniv).	and could I	be granted and for other purposes, the				
B. There is no pri	or U.S. application relating to	this invention.						
international a	orior U.S. application(s) conta pplication. (NOTE: priority to t this listing does not constitute	hese applications may o	s related to to	the invention disclosed in the attached e claimed on form PCT/RO/101				
application no.	60/462,700	filed on	5 APR	14 2003				
application no.		filed on						
D. The present i	nternational application contragraph C. above. The addition	lains additional subject	matter not	found in the prior U.S. application(s)				
and 🔀 DOES	S NOT ALTER I MIGHT B	E CONSIDERED TO AL	TER the ge	neral nature of the investion is a manner				
agencies unde	r 35 U.S.C. 181 and 37 CFR	to have been made at 5.1. See 37 CFR 5.15.	vailable for	inspection by the appropriate defense				
III. A Response to an I	nvitation from the RO/US. T	he following document(s) is (are) end	closed:				
A. A Request for								
B. A Power of Attorney (General or Regular)								
	orney (General or Regular)	a Response						
B. A Power of Att C. Replacement	orney (General or Regular)	a Kesponse						
C. Replacement pages	orney (General or Regular) pages:	e request (PCT/RO/101)	pagės	of the figures				
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D. Replacement pages pages pages D. Submission of F Priority docum E. Fees as specifications IV. A Request for Rection	orney (General or Regular) pages: of the of the of the Priority Documents ment fied on attached Fee Calculation	e request (PCT/RO/101) e description e claims ion sheet form PCT/RO/	Priority d	of the abstract				
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D. Submission of F Priority docum E. Fees as specification V. A Request for Rection Applicant	orney (General or Regular) pages: of the of the of the Priority Documents ment fied on attached Fee Calculation	e request (PCT/RO/101) e description e claims ion sheet form PCT/RO/1	Priority d	of the abstract				
C. Replacement pages pages pages D. Submission of F Priority docume E. Fees as specifications V. Other (please specification) Applicant	orney (General or Regular) pages: of the of the of the Priority Documents ment fied on attached Fee Calculation	e request (PCT/RO/101) e description e claims ion sheet form PCT/RO/	Priority d 101 annex A Seque	of the abstract				

PTO-1382 (Rev. 04-2003)

U.S. Department of Commerce: Patent and Trademark Office

	1				
	International Application No.				
REQUEST	International Filing Date				
The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.	Name of receiving Office and "PCT International Application"				
	Applicant's or agent's file reference (if desired) (12 characters maximum) JH-1PCT				
Box No. 1 TITLE OF INVENTION	19 does toy (12 tha delets maximum) of 1-17 of				
Apparatus for Processing, Storing, and Dispens	sing Soft Serve Frozen Food Product				
Box No. II APPLICANT This perso	on is also inventor				
Name and address: (Family name followed by given name; for a legal ent The address must include postal code and name of country. The country of t Box is the applicant's State (that is, country) of residence if no State of residen	the address indicated in this (212) 873-5306				
Harra, John	Facsimile No.				
155 West 81st Street, Apartment 6L New York, NY 10024	Teleprinter No.				
USA	Applicant's registration No. with the Office				
State (that is, country) of nationality:					
USA	State (that is, country) of residence: USA				
This person is applicant for the purposes of: **States*** all designated the United States**	ed States except the United States the States indicated in States of America only the Supplemental Box				
Box No. III FURTHER APPLICANT(S) AND/OR (FURT					
Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of country. The country of Box is the applicant's State (that is, country) of residence if no State of residen	the address indicated in this 19115 person is.				
State (that is, country) of nationality:	State (that is, country) of residence:				
	ome [mai is, country] of residence.				
This person is applicant for the purposes of: all designated the United States	ed States except States of America Of America only the States indicated in the Supplemental Box				
Further applicants and/or (further) inventors are indicated	on a continuation sheet.				
· · · · · · · · · · · · · · · · · · ·	E; OR ADDRESS FOR CORRESPONDENCE				
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authoritie	s as: representative				
Name and address: (Family name followed by given name; for a legal en The address must include postal code and name of a	tity, full official designation. (732) 521-3926				
Indyk, Eugene Indyk & Rushford	Facsimile No. (732) 521-3390				
366 Rue Road Monroe Township, NJ 08831	Teleprinter No.				
USA	Agent's registration No. with the Office 30,711				
Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.					

									
	The following designations are hereby made under Rule 4.9(a):								
Regional Patent									
□ AP	ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZM Zambia, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT (if other kind of protection or treatment desired, specify on dotted line).								
_	Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT								
EP .	European Patent: AT Austria, BE Belgium, BG Bulgaria, CH & LI Switzerland and Liechtenstein, CY Cyprus, CZ Czech Republic, DE Germany, DK Denmark, EE Estonia, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, HU Hungary, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, RO Romania, SE Sweden, SI Slovenia, SK Slovakia, TR Turkey, and any other State which is a Contracting State of the European Patent Convention and of the PCT								
□ OA	OAPI Patent: BF Burkina Faso, I	BJ B	enir	n, CF Central African Republic, CG	ço	ngo.	CI Côte d'Ivoire CM Camernon		
	GA Gabon, GN Guinea, GQ Equa	toria	ıl Gı	inea, GW Guinea-Bissau, ML Mali	. M	IR M	fauritania NE Niger SN Senegal		
	TD Chad, TG Togo, and any other:	State	e wh	ich is a member State of OAPI and a	Ċ'n	ntrac	ting State of the PCT (if other kind		
Nation	of protection or treatment desired,	spec	ify e	on dotted line)	. .	• • •	•••••		
INSTIGUT	al Patent (if other kind of protection	or	reat	ment desired, specify on dotted line):	Ĺ				
□ AE	United Arab Emirates	님	HR	Croatia		OM	Oman		
	Antigua and Barbuda Albania		HU	Hungary					
□ AL	Amenia	집	w	Indonesia	븯	PH	Philippines		
☐ AT	Austria		IN IN	India	끔	PT.	Potand		
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	Azerbaijan				H	KU DII	Russian Federation		
□ВА	Bosnia and Herzegovina	$\tilde{\Box}$	KE.	Kenva	بم	ΝÜ			
LIRR	Barhados ·	п	KC.	Vyrayzatan		00	Seychelles		
□ BG	Bulgaria		KP	Democratic People's Republic	П	SD	Sudan		
MC BR	Brazil			of Korea		SE	Sweden		
☐ BY	Belarus		KR	Republic of Korea		SG	Singapore		
□ BZ	Belize		ΚZ	Kazakhstan	Ö	SK	Slovakia		
CA CA	Canada		LC	Saint Lucia	-		Sierra Leone		
	& LI Switzerland and Liechtenstein					SY	Syrian Arab Republic		
	China					TJ	Tajikistan		
□ co	Colombia	Ц	LS						
	Costa Rica						Tunisia		
	Crosh Bornhia			•	닏	TR	Turkey		
	Czech Republic	H	LV	Latvia	μ	TT	Trinidad and Tobago		
☐ DK	Germany	H	MIN	Republic of Moldows	H	doca.	Helad Daniblia Cm		
□ DM	Dominica	_	17IU	Republic of Moldova	님	I.Z.	United Republic of Tanzania		
	Algeria		MG	Madagascar	H	UA	Usanda		
□ EC	Ecuador		MK	The former Yugoslav Republic of	K	US	United States of America		
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□ СВ	United Kingdom		MX	Mexico		VN	Viet Nam		
	Grenada		MZ	Mozambique		YU	Serbia and Montenegro		
∐ GE	Georgia		NI	Nicaragua		ZA	South Africa		
	Ghana						I Zambia		
⊔ GM	Gambia	Ц	NZ	New Zealand		ZW	Zimbabwe		
Check-t	oxes below reserved for designating	Stat	es w	which have become party to the PCT a	: ifte	r issı	nance of this sheet:		
□	·····						•••••		
Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being									
excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that									
any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation (including fees) must reach the receiving Office within the 15-month time limit.)									
applicat	it at the expiration of that time limit.	(Cor	yirm	iation (including fees) must reach the re	deh	ving (Office within the 15-month time limit.)		

DOLINO, TE ERIOREE CLARITE							
The priority of the following	earlier application(s) is here	by claimed:	T				
Filing date of earlier application	Number of earlier application	V	Vhere earli	arlier application is:			
(day/month/year)	of earlier application	national application: country or Member of WTO		application:*	international application: receiving Office		
item (1) 15 April 2003 (15/04/2003)	60/462,700	us					
item (2)							
item (3)							
item (4)							
item (5)			i				
Further priority claims a	are indicated in the Suppleme	ental Box.					
The receiving Office is reque if the earlier application was f above as:	ested to prepare and transmit filed with the Office which for	to the International Bureau the purposes of this interna	a certifie	d copy of the e	earlier application(s) (only eceiving Office) identified		
all items 🔀 item (1) item (2)	item (3) item	(4)	item (5)	other, see Supplemental Box		
* Where the earlier application industrial Property or one Mo	on is an ARIPO application, is ember of the World Trade O	ndicate at least one country	party to the	he Paris Conve			
				· · · · · · · · · · · · · · · ·	·····		
Box No. VII INTERNAT	IONAL SEARCHING AU	THORITY					
Choice of International Sea international search, indicate	rching Authority (ISA) (if i	two or more International S	Searching A	Authorities are	competent to carry out the		
ISA / US							
Request to use results of ea	rlier search; reference to t		earch has l	been carried ou	it by or requested from the		
International Searching Author Date (day/month/year)	o <i>rity);</i> Numt	ber Coun	itry (or reg	zional Office)			
Box No. VIII DECLARAT	TIONS						
The following declarations check-boxes below and indica	are contained in Boxes Nos.	VIII (i) to (v) (mark the a mber of each type of declar	pplicable ation):		Number of declarations		
Box No. VIII (i)	Declaration as to the identi	ty of the inventor			:		
Box No. VIII (ii)	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent						
Box No. VIII (iii)	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application						
Box No. VIII (iv)	Declaration of inventorshi United States of America)	ip (only for the purposes o	f the desig	nation of the	; 1		
Box No. VIII (v)	Declaration as to non-prej	udicial disclosures or exce	eptions to l	lack of novelty	:		

DUX 170. Y 111 (IV) DECLARATION: IN YEAT ORDER (Unity for the purposes of the designation of the Officer States of America) The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

for the purposes of the designation of	3 4.17(iv) and 51 <i>bis</i> .1(a)(iv)) f the United States of America:
I hereby declare that I believe I am the original, first and sole (if only is listed below) inventor of the subject matter which is claimed and for	or which a patent is sought.
This declaration is directed to the international application of which i	it forms a part (if filing declaration with application).
This declaration is directed to international application No. PCT/ to Rule 26ter).	(if furnishing declaration pursuant
l hereby declare that my residence, mailing address, and citizenship a	are as stated next to my name.
I hereby state that I have reviewed and understand the contents of the of said application. I have identified in the request of said application, i and I have identified below, under the heading "Prior Applications," I Organization, day, month and year of filing, any application for a paten States of America, including any PCT international application designa having a filing date before that of the application on which foreign prior the state of the application on which foreign prior the state of the application on which foreign prior the state of the application on which foreign prior the state of the application on which foreign prior the state of the application of the application of the application of the state of the application of the ap	above-identified international application, including the claims in compliance with PCT Rule 4.10, any claim to foreign priority, by application number, country or Member of the World Trade it or inventor's certificate filed in a country other than the United sting at least one country other than the United States of America, riority is claimed.
Prior Applications: Application No. 60/462,700 filed in the US	S.on.15.April 2003 (15/04/03)
I hereby acknowledge the duty to disclose information that is k 37 C.F.R. § 1.56, including for continuation-in-part applications, mater of the prior application and the PCT international filing date of the continuation.	rial information which became available between the Gline date.
I hereby declare that all statements made herein of my own knowledge are believed to be true; and further that these statements were made v made are punishable by fine or imprisonment, or both, under Section false statements may jeopardize the validity of the application or any	with the knowledge that willful false statements and the like so
Name: John Harra	
Residence: New York, NY 10024 USA (city and either US state, if applicable, or country)	
Mailing Address: 155 West 81st Street, Apartment 6L	
New York, NY 10024 USA	
Inventor's Signature:	Date: 13 April 2003 (13/04/04)
(if not contained in the request, or if declaration is corrected or	(of signature which is not contained in the request or of the
added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	declaration that is corrected or added under Rule 26ter after the filing of the international application)
2501	
Name:	
Residence:	
Mailing Address:	
Citizenship:	· · · · · · · · · · · · · · · · · · ·
Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Date:
	;
☐ This declaration is continued on the following sheet, "Continuation of the following sheet,"	on of Box No. VIII (iv)".

This international application contains: (a) in paper form, the following number of sheets:	item(s) <i>(m</i>	national application is accompar ark the applicable check-boxes be ann the number of each item):	nied by the following elow and indicate in	Number of items		
	1 1 1 6	e calculation sheet				
request (including declaration sheets) : 5				: 1		
description (excluding		riginal separate power of attorne		: 1		
sequence listings and/or		riginal general power of attorne		:		
tables related thereto) : 26	[4. ∐ co	opy of general power of attorney	; reference number,			
claims : 2		any:				
abstract ; 1	i	atement explaining lack of sign		:		
drawings : 18	6. □ pi	riority document(s) identified in em(s):	Box No. VI as	•		
Sub-total number of sheets: 52 sequence listings:	7. 🔲 tr	anslation of international applic				
tables related thereto : (for both, actual number of	8. 🔲 se	eparate indications concerning d				
sheets if filed in paper form, whether or not also filed in	9. 🔲 se	equence listings in computer reac indicate type and number of carry	lable form	•		
computer readable form; see (c) below)		copy submitted for the purpose Rule 13 <i>ter</i> only (and not as pain	s of international searc	h under		
Total number of sheets : 52	(ii) [(only where check-box (b)(i) or additional copies including, w	(c)(i) is marked in left col	prication): lumn)		
(b) only in computer readable form (Section 801(a)(i))		purposes of international searc	h under Rule 13ter	:		
(i) ☐ sequence listings (ii) ☐ tables related thereto		together with relevant stateme copies with the sequence listing	gs mentioned in left col	umn :		
(c) also in computer readable form (Section 801(a)(ii))	<u> </u>	bles in computer readable form ndicate type and number of carr	iers)	_		
(i) ☐ sequence listings (ii) ☐ tables related thereto	(i) [Section 802(b-quater) only (ar	es of international searc and not as part of the inte	h under rnational		
Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are	(ii)	application) [(only where check-box (b)(ii) or	(c)(ii) is marked in left c	: olumn)		
contained the sequence listings:	(iii) [only where check-box (b)(ii) or additional copies including, w purposes of international searc together with relevant stateme	th under Section 802(b-	y for the quater) :		
☐ tables related thereto:		copies with the tables mention	ed in left column	:		
(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)	11. 🗀 8	ther (specify):	••••••	:		
Figure of the drawings which should accompany the abstract:	internation	of filing of the nal application:	English			
Box No. X SIGNATURE OF APPLICAN' Next to each signature, indicate the name of the person sig	T, AGENT ming and the ca	OR COMMON REPRESENT	ATIVE h capacity is not obvious fro.	m reading the request).		
Next to each signature indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request). 13 April 2004 John Harra Applicant						
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	For rec	eiving Office use only				
Date of actual receipt of the purported international application:		į		2. Drawings:		
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:						
4. Date of timely receipt of the required corrections under PCT Article 11(2):						
5. International Searching Authority (if two or more are competent): ISA / 6. Transmittal of search copy delayed until search fee is paid						
For International Bureau use only						
Date of receipt of the record copy by the International Bureau:						
<u> </u>						

PCT For receiving Office use only -FEE CALCULATION SHEET International Application No. Annex to the Request Applicant's or agent's file reference JH-IRCT Date stamp of the receiving Office Applicant Harra, John CALCULATION OF PRESCRIBED FEES 1. TRANSMITTAL FEE \$ 300 丁 \$1000 S 2. SEARCH FEE International search to be carried out by (If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.) 3. INTERNATIONAL FILING FEE Where items (b) and/or (c) of Box No. IX apply, enter Sub-total number of sheets Where items (b) and (c) of Box No. IX do not apply, enter Total number of sheets first 30 sheets \$1134 iii i2 \$ 264 12 number of sheets fee per sheet in excess of 30 additional component (only if sequence listing and/or tables related thereto are filed in computer readable form under Section 801(a)(i), or both in that form and on paper, under Section 801(a)(ii)): i3 fee per sheet \$1398 Add amounts entered at i1, i2 and i3 and enter total at 1... (Applicants from certain States are entitled to a reduction of 75% of the international filing fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the international filing fee.) 20 P 4. FEE FOR PRIORITY DOCUMENT (if applicable) . \$2718 TOTAL Add amounts entered at T, S, I and P, and enter total in the TOTAL box MODE OF PAYMENT authorization to charge deposit account (see below) postal money order cash coupons cheque bank draft revenue stamps other (specify): AUTHORIZATION TO CHARGE (OR CREDIT) DEPOSIT ACCOUNT (This mode of payment may not be available at all receiving Offices) Receiving Office: RO/__ Deposit Account No.: Authorization to charge the total fees indicated above. [This check-box may be marked only if the conditions for deposit accounts Date: of the receiving Office so permit) Authorization to charge any deficiency

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Signature:

Form PCT/RO/101 (Annex) (January 2004)

or credit any overpayment in the total fees indicated above.

Authorization to charge the fee for priority document.

See Notes to the fee calculation sheet